

03-01-02

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<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>		Attorney Docket No. <b>EMSI1</b>
		First Inventor or Application Identifier <b>Shores et al.</b>
		Title <b>SYSTEM AND METHOD FOR IMPROVED VALIDATION FOR CLAIMS COMPLIANCE</b>
		Express Mail Label No. EL <b>812326182US</b>
<p>(only for new nonprovisional applications under 37 C.F.R. § 1.53(b))</p>		

**APPLICATION ELEMENTS**  
See MPEP chapter 600 concerning utility patent application contents

Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

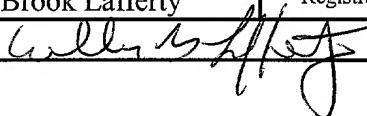
1. <input checked="" type="checkbox"/> • Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)	5. <input type="checkbox"/> Microfiche Computer Program (Appendix)
2. <input checked="" type="checkbox"/> Specification [Total Pages 22] (preferred arrangement set forth below) - Descriptive title of the Invention	6. Nucleotide and/or Amino Acid Sequence Submission (if application, all necessary) a. <input type="checkbox"/> Computer Readable Copy
- Cross References to Related Applications	b. <input type="checkbox"/> Paper copy (identical to computer copy)
- Statement Regarding Fed. Sponsored R & D	c. <input type="checkbox"/> Statement verifying identity of above copies
- Reference to Microfiche Appendix	
- Background of the Invention	
- Brief Summary of the Invention	
- Brief Description of the Drawings (if filed)	
- Detailed Description	
- Claim(s)	
- Abstract of the Disclosure	
3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 2]	7. <input checked="" type="checkbox"/> Assignment Papers (cover sheets & documents))
4. Oath or Declaration [Total Pages 2]	8. <input type="checkbox"/> 37 C.F.R. § 3.73(b) Statement <input type="checkbox"/> Power of (when there is an assignee) <input type="checkbox"/> Attorney
a. <input checked="" type="checkbox"/> Newly executed (original or copy)	9. <input type="checkbox"/> English Translation Document (if applicable)
b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d) (for continuation/divisional with Box 16 completed)	10. <input type="checkbox"/> Information Disclosure <input type="checkbox"/> Copies of IDS Statement (IDS)/PTO-1449 <input type="checkbox"/> Citations
1. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b)	11. <input type="checkbox"/> Preliminary Amendment
NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY STATUS IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.29).	
12. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) Should be specifically itemized * Small Entity	13. <input type="checkbox"/> Statement(s) <input type="checkbox"/> Statement filed in prior application (PTO/SB/09-12) Status still proper and desired
14. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)	15. <input checked="" type="checkbox"/> Other: <u>SMALL ENTITY STATUS CLAIMED</u>

16. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment.

Continuation  Divisional  Continuation-in-part (CIP) of prior application No. \_\_\_\_\_ /  
Prior application information: Examiner \_\_\_\_\_ Group/Art Unit: \_\_\_\_\_

FOR **CONTINUATION OR DIVISIONAL APPS** only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**17. CORRESPONDENCE ADDRESS**

<input type="checkbox"/> Customer Number or Bar Code Label	 006980		or <input type="checkbox"/> Correspondence address below
Name	PATENT TRADEMARK OFFICE		
Address	Troutman Sanders LLP, 600 Peachtree Street, NE, Suite 5200		
City	Atlanta	State	GA
Country	U.S.A.	Telephone	404-885 3470
			Zip Code 30308
			Fax 404-962-6773
Name (Print/Type)	Wm. Brook Lafferty	Registration No. (Attorney/Agent)	39,259
Signature			Date February 28, 2002

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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT (\$452.00)

## Complete if Known

Application Number	
Filing Date	February 28, 2002
First Named Inventor	Shores
Examiner Name	
Group Art Unit	
Attorney Docket No.	EMSI1

## METHOD OF PAYMENT

1.  The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 20-1507

Deposit Account Name TROUTMAN SANDERS LLP

 Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 Applicant claims small entity status  
See 37 CFR 1.272.  Payment Enclosed:  
 Check  Credit card  Money  Other Order

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Fee Code	Fee (\$)	Small Entity Fee Code	Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	370
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	
SUBTOTAL (1) (\$)				370	

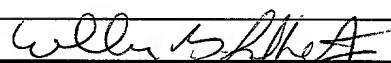
## 2. EXTRA CLAIM FEES

	Extra Claims	Fee from below	Fee Paid
Total Claims	16	- 20** = <input type="text"/> X \$ <input type="text"/> = <input type="text"/> \$0	
Independent Claims	4	- 3** = <input type="text"/> X \$ <input type="text"/> = <input type="text"/> \$42	
Multiple Dependent			

Large Entity Fee Code	Fee (\$)	Small Entity Fee Code	Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2) (\$)				42

## 3. ADDITIONAL FEES

Large Entity Fee Code	Fee (\$)	Small Entity Fee Code	Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR after Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	218	460	Extension for reply within third month	
118	1440	218	720	Extension for reply within fourth month	
128	1960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40
146	740	246	370	Filing a submission after final rejection (37 CFR 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify)					
* Reduced by Basic Filing Fee Paid				SUBTOTAL (3) (\$)	40

SUBMITTED BY		Complete (if applicable)		
Name (Print/Type)	Wm. Brook Lafferty	Registration. No.	39,259	Telephone 404-885-3470
Signature		Date	February 28, 2002	

WARNING: Information of this may become public. Credit card Information should not

956480\_1.DOC be included on this form. Provide credit card information and authorization on PTO-2038.